

The Honorable John H. Chun

UNITED STATES DISTRICT COURT
WESTERN DISTRICT OF WASHINGTON
AT SEATTLE

FEDERAL TRADE COMMISSION,

Plaintiff,

v.

AMAZON.COM, INC., *et al.*,

Defendants.

No. 2:23-cv-0932-JHC

DEFENDANTS' OPPOSITION TO
FTC'S MOTION TO EXCLUDE
DEFENDANTS' EXPERT CRAIG
ROSENBERG, PH.D.

NOTED ON MOTION CALENDAR:
JUNE 24, 2025

ORAL ARGUMENT REQUESTED

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I. INTRODUCTION

Defendants retained Dr. Craig Rosenberg, a leading expert with a Ph.D. in Human Factors¹ and more than 30 years of experience designing and evaluating user interfaces, to assess the usability of Amazon’s Prime enrollment and cancellation flows. His testimony responds directly to the FTC’s central allegations that Amazon’s interfaces employed confusing or manipulative “dark patterns.” Dr. Rosenberg draws on his extensive experience, relevant literature, and analysis of other widely used website interfaces, including e-commerce sites, to evaluate Amazon’s flows and to critique FTC expert Dr. Marshini Chetty’s flawed methodology and assumptions.

The FTC now moves to exclude Dr. Rosenberg’s opinions, arguing he is unqualified, his methodology is unreliable, and his opinions are irrelevant. But its motion misrepresents the record, blurs the line between his affirmative and rebuttal opinions, and ignores settled law.

First, the FTC challenges Dr. Rosenberg’s qualifications, but Dr. Rosenberg has decades of academic and industry experience evaluating user interfaces. This easily satisfies Rule 702’s “broad conception of expert qualifications.” *Hangarter v. Provident Life & Acc. Ins. Co.*, 373 F.3d 998, 1015 (9th Cir. 2004) (expert with twenty-five years of industry experience satisfied the “minimal foundation” necessary under Rule 702).

Second, the FTC’s contention that Dr. Rosenberg’s methodology is unreliable fails. Dr. Rosenberg’s opinions are grounded in accepted usability principles and his review of the record and real-world interfaces. Dr. Rosenberg’s rebuttal opinions also properly “expose flaws” in Dr. Chetty’s methodologies – a typical approach for a rebuttal expert. *United States v. 4.0 Acres of Land*, 175 F.3d 1133, 1141 (9th Cir. 1999).

Third, the FTC cannot reasonably claim Dr. Rosenberg’s opinions are irrelevant, having made usability and “dark patterns” the centerpiece of its case. *See Messick v. Novartis Pharms. Corp.*, 747 F.3d 1193, 1196 (9th Cir. 2014) (“The relevancy bar [for expert testimony] is low, demanding only that the evidence ‘logically advances a material aspect of the proposing party’s

¹ Human Factors is a multidisciplinary field that studies human interaction with machines, technology, and interfaces.

case.”).

In short, Dr. Rosenberg’s testimony is relevant, reliable, and squarely admissible under Rule 702. The motion should be denied.

II. BACKGROUND

Dr. Rosenberg holds a Ph.D. and M.S. in Human Factors and has over 30 years of experience in user interface design and evaluation. Dkts. 320-29 at 103:13–19, 265:3–19; 318-49 ¶¶ 1–15, 47–51. “[U]ser interface design and evaluation” was the focus of both Dr. Rosenberg’s graduate training and is the “primar[y] focus” of his professional practice. Dkt. 320-29 at 45:13–18, 53:6–12; Ex. 75 at 52:18–24, 270:16–19.² As a seasoned practitioner, he has designed interfaces and platforms for military, transport, telecommunications, telemedicine, and e-commerce applications, among others (Dkt. 320-29 at 64:20–66:20, 53:13–55:23; Ex. 75 at 68:8–69:18) and published works “within the domain of user interface and human factors” (Dkt. 320-29 at 44:14–45:4). Dr. Rosenberg has also testified in 42 cases involving interface design, including two centering on alleged manipulative design in consumer-facing web platforms. Ex. 75 at 29:20–30:9 (“the majority” of his retained work concerns user interfaces, specifically online consumer-facing interfaces), 259:23–260:13; Dkt. 318-49 at 50–51.³

In this case, Dr. Rosenberg submitted a 43-page affirmative report and a 103-page rebuttal report responding to Dr. Chetty, the FTC’s expert on “the usability of web interfaces and the presence of manipulative designs (sometimes known as ‘dark patterns’) on websites.” Dkt. 327-10 at 1. Dr. Rosenberg’s reports cite dozens of publications, voluminous case materials, and numerous examples of other widely-used website interfaces. In his deposition and reports, Dr. Rosenberg explained his approach: reviewing the record, consulting usability literature, considering “examples from e-commerce websites,” and applying his “knowledge, skill, experience, training, education, and work in the area of UI design and development over the last

² “Ex.” refers to exhibits attached to the June 17, 2025, Omnibus Declaration of Joseph Reiter.

³ All listed cases under “Testifying Experience” concern user interface design, other than the *Curtis Consulting Group*; *Title Source, Inc.*, and *Colleen Whatton* cases. Dkt. 318-49 at 50–51.

30 years.” Dkts. 318-49 ¶¶ 16–17; 320-23 ¶ 7; 320-29 at 106:1–109:3, 108:22–109:3; Ex. 75 at 302:18–23.

III. LEGAL STANDARD

“Rule 702 should be applied with a ‘liberal thrust’ favoring admission.” *Nat’l Prods. Inc. v. Innovative Intelligent Prods. LLC*, 2024 WL 3582366, *2 (W.D. Wash. July 30, 2024) (quoting *Messick*, 747 F.3d at 1196). The trial court’s role as gatekeeper is “not to exclude opinions merely because they are impeachable,” but to “screen the jury from unreliable nonsense opinions.” *In re Roundup Prods. Liab. Litig.*, 390 F. Supp. 3d 1102, 1113 (N.D. Cal. 2018) (quoting *Alaska Rent-A-Car, Inc. v. Avis Budget Grp., Inc.*, 738 F.3d 960, 969 (9th Cir. 2013)). “Vigorous cross-examination, presentation of contrary evidence, and careful instruction on the burden of proof are the traditional and appropriate means of attacking shaky but admissible evidence.” *Daubert v. Merrell Dow Pharmaceuticals, Inc.*, 509 U.S. 579, 596 (1993).

IV. ARGUMENT

A. Dr. Rosenberg Is Well-Qualified to Offer His Opinions

1. Dr. Rosenberg’s Expertise Applies Directly to E-Commerce Interfaces

Rule 702 “contemplates a broad conception of expert qualifications,” and Dr. Rosenberg’s “knowledge, skill, experience, training, or education” easily qualifies him. *Hangerter*, 373 F.3d at 1015–16 (quoting Rule 702).

Unable to challenge Dr. Rosenberg’s extensive user interface qualifications, the FTC instead questions his fitness to assess “how consumers interact with Amazon’s Prime e-commerce subscription service” by nitpicking the recency and precise focus of certain aspects of his experience. Dkt. 312 (Mot.) 9–10. Even if these attacks had merit, they go only to the weight of his testimony.

First, the FTC asserts that Dr. Rosenberg’s “expertise is inapplicable to e-commerce” (Mot. 9), ignoring both his testimony and CV. Ex. 75 at 53:13–54:18, 77:8–78:11 (testifying that he previously “programmed e-commerce systems” and “managed a team that was building an e-commerce system”). The FTC then claims that the “last mass-market consumer *product* Dr.

1 Rosenberg worked on was a 1990s pager.” Mot. 9 (emphasis added). This ignores Dr.
 2 Rosenberg’s continuous work in consumer-facing user *interface design* since 1988. Dkt. 318-49
 3 at 47–49. Moreover, as Dr. Rosenberg explained, the core principles of interface design apply
 4 across domains: “All the principles around user interface design in general [] also apply to e-
 5 commerce.” Ex. 75 at 48:1–3, 53:13–20.

6 Even if Dr. Rosenberg were not specialized in “e-commerce” or “mass market products,”
 7 the Ninth Circuit has clearly held that any “lack of particularized expertise goes to the weight
 8 accorded an expert’s testimony, not to the admissibility of [his] opinion.” *Ramirez v. ITW Food*
 9 *Equip. Grp., LLC*, 686 F. App’x 435, 440–41 (9th Cir. 2017); *see also In re Silicone Gel Breast*
 10 *Implants Prods. Liab. Litig.*, 318 F. Supp. 2d 879, 915–16 (C.D. Cal. 2004) (“[L]ack of sub-
 11 specialization does not render [an expert]’s testimony inadmissible.”).

12 *Second*, the FTC suggests that Dr. Rosenberg’s experience is “extremely remote” because
 13 he has not recently taught courses or published peer-reviewed articles. Mot. 10. That argument
 14 fails because “Rule 702 does not require an expert to publish articles or give lectures in order to
 15 qualify to give expert testimony.” *Luttrell v. Novartis Pharms. Corp.*, 894 F. Supp. 2d 1324,
 16 1336–37 (E.D. Wash. 2012), *aff’d*, 555 F. App’x 710 (9th Cir. 2014). Here, Dr. Rosenberg is
 17 primarily an industry expert—a common expert role—and the FTC does not dispute that Dr.
 18 Rosenberg has consistently worked and consulted on user interface design projects since 1988.
 19 *See, e.g., Higley v. Cessna Aircraft Co.*, 2013 WL 12112167, *4 (C.D. Cal. July 8, 2013)
 20 (“Experts are often called upon to offer testimony on relevant industry practice.”).

21 *Third*, the FTC’s attempt to undermine Dr. Rosenberg’s credibility based on certain prior
 22 expert work is unavailing. The FTC claims that the court in *Fed. Trade Comm’n v. Amazon.com,*
 23 *Inc.*, 2016 WL 1221654 (W.D. Wash. Mar. 29, 2016) had “‘serious misgivings about the
 24 soundness’ of Rosenberg’s methodology” (Mot. 10), but omits that the court permitted him to
 25 offer opinions regarding Amazon’s in-app user interfaces as a proper rebuttal expert to the FTC’s
 26 Human Computer Interaction expert. *See* Dkts. 139 at 5–6; 206 at 7–8. Nor does the FTC explain
 27 why “rigorous cross-examination” (Mot. 10) would not be the appropriate remedy here. Likewise,

the FTC points to *Aatrix Software, Inc. v. Green Shades Software, Inc.* without disputing Dr. Rosenberg’s explanation that his exclusion was due to attorney error in scope instructions—not any flaw in his methods. Dkt. 320-29 at 167:14–169:24. The opinion itself, which the FTC does not cite, supports this explanation. Case No. 3:15-cv-00164-MMH-MCR, Dkt. 331 at 4. The FTC’s reliance on *Courthouse News Serv. v. Yamasaki*, 312 F. Supp. 3d 844 (C.D. Cal. 2018), is similarly inapposite. That decision (later vacated in 950 F.3d 640 (9th Cir. 2020)) addressed evidentiary objections to a summary judgment declaration and did not involve a Rule 702 challenge—and the court did not discredit Dr. Rosenberg’s human factors qualifications. *Id.* at 875.

Dr. Rosenberg is an expert in the very discipline at issue: usability design and consumer-interface interactions. His qualifications are more than sufficient for admissibility under Rule 702.

2. The FTC Mischaracterizes Dr. Rosenberg’s Testimony as Beyond the Scope of His Expertise

The FTC plucks out isolated phrases in Dr. Rosenberg’s report and deposition to wrongly suggest that he seeks to offer opinions in the fields of business, corporate compliance, law, psychology, government, or law enforcement. Mot. 11–12. Dr. Rosenberg does not, nor does he hold himself out as an expert in those areas. *Id.* When read in context, the cited phrases simply contextualize his human factors opinions.

For example, the FTC highlights Dr. Rosenberg’s observation that “from Amazon’s perspective, based on objective measures of clarity, there was no evidence that clarity had been enhanced.” Mot. 11 (citing Dkt. 318-49 ¶ 91). This is not a “business” or “psychology” opinion. Rather, it frames Dr. Rosenberg’s opinion regarding the implications of the data Amazon had from conducting user interface testing—matters plainly within his expertise. And while an expert cannot testify to a party’s “actual, subjective state[] of mind,” he *can* testify to “generally accepted ... practices” and standards—the testimony that Dr. Rosenberg offers here. Mot. 13 (citing *M.H. v. Cnty. of Alameda*, 2015 WL 54400 (N.D. Cal. Jan. 2, 2015)).

Similarly, Dr. Rosenberg’s reference to “the FTC’s perception” (Mot. 11) in the opening

1 sentence of a section about “the effects of expectation bias” (Dkt. 318-49 at 41) is not an opinion
 2 about “how the FTC perceives” anything. Mot. 12. By writing, “[i]t is likely that expectation bias
 3 is somewhat driving the FTC’s perception of Amazon’s business practices,” Dr. Rosenberg sets
 4 up the purpose of his expectation bias opinion—namely, to explain why the FTC’s allegations
 5 about Amazon’s flows are misguided. Notably, the FTC does not attempt to argue that
 6 expectation bias, a well-established concept in human factors, is beyond Dr. Rosenberg’s
 7 expertise. Indeed, because human factors is “right at the intersection of psychology and computer
 8 science,” Dr. Rosenberg took courses on psychology and cognitive science during his degrees and
 9 graduate studies, and taught classes “involv[ing] cognitive science.” Ex. 75 at 30:21–33:20.

10 **B. Dr. Rosenberg’s Methodology Is Reliable**

11 The FTC’s argument that Dr. Rosenberg lacks a reliable methodology fails, both as to his
 12 affirmative opinions and his rebuttal opinions.

13 **1. Dr. Rosenberg’s Affirmative Opinions Are Based on a Reliable, 14 Experience-Based Methodology**

15 Contrary to the FTC’s assertion that Dr. Rosenberg’s affirmative opinions are “a black
 16 box” (Mot. 7), his report expressly explains that his usability analysis is grounded in “[his]
 17 knowledge, skill, experience, training, education, and work in the area of UI design and
 18 development over the last 30 years.” Dkts. 318-49 at 10; 320-29 at 106:1–109:3. He reviewed
 19 Amazon’s flows, documents produced in the case, deposition transcripts, discovery responses,
 20 usability literature, and examples from widely used websites. *See* Dkt. 318-49 at 10, 52–60. These
 21 are precisely the types of inputs that usability experts rely on in evaluating whether an interface is
 22 clear, consistent, and learnable—core usability concepts. Ex. 75 at 159:22–160:18 (explaining
 23 that “consistency is one of the major tenets of the Norman Nielsen ten usability heuristics”); *see*
 24 *also* Dkt. 320-29 at 170:11–171:2, 186:21–188:21; Ex. 75 at 182:17–183:6; Fed. R. Evid.
 25 703 (expert may base opinion on facts or data on which experts in the field would
 26 reasonably rely).
 27

1 The FTC first selectively cites deposition snippets to claim that Dr. Rosenberg failed to
 2 “explain his methodology.” Mot. 6. But his references to the “totality” of his experience are not
 3 evasions, but acknowledgments that his expert conclusions stem from integrated professional
 4 judgment based on decades of interface design. This is textbook experience-based expert analysis,
 5 permitted under Rule 702. *Porter v. Martinez*, 68 F.4th 429, 444 (9th Cir. 2023); *see also Bryant*
 6 *v. Wyeth*, 2012 WL 12135536, *3 (W.D. Wash. Aug. 22, 2012) (“[A] properly qualified expert
 7 witness may base his opinion upon his experience”). Courts consistently hold that there is
 8 “nothing inherently suspect about [an expert] relying on his experience and knowledge of
 9 industry standards.” *Cnty. of Maricopa v. Off. Depot Inc.*, 2019 WL 5066808, *21 (D. Ariz. Oct.
 10 9, 2019) (expert permitted to rely on application of substantial industry experience and review of
 11 case materials); *Wyatt B. v. Brown*, 2022 WL 3445767, *4 (D. Or. Aug. 17, 2022) (“The Ninth
 12 Circuit has held, however, that an expert’s opinion may be based on the expert’s experience and
 13 knowledge of the industry as a whole.” (citing *United States v. Laurienti*, 611 F.3d 530, 548 (9th
 14 Cir. 2010))).⁴

15 The FTC next criticizes various aspects of Dr. Rosenberg’s approach, but these are all
 16 matters for cross-examination. *See, e.g., Smith v. Result Matrix, Inc.*, 2022 WL 2237289, *6
 17 (W.D. Wash. June 22, 2022) (admitting expert opinions on “standard industry practices,” and
 18 holding that opposing party was “free to cross-examine [the expert] and to challenge the weight
 19 afforded his opinion”).

20 For example, the FTC complains that Dr. Rosenberg did not “perform his own think-aloud
 21 study or other study.” Mot. 2. Of course, “there is no requirement that an expert perform
 22 independent testing for his testimony to be reliable.” *FTC v. Your Baby Can LLC*, 2014 WL

23
 24
 25 ⁴ The FTC, selectively quoting testimony regarding Prime cancellation, also wrongly claims that Dr. Rosenberg’s
 26 reasoning is “often circular” Mot. 7. But Dr. Rosenberg did not simply assert that Prime cancellation is “simple”
 27 because it is “widely accepted.” *Id.* He testified earlier that his opinion on Prime cancellation’s simplicity was based
 on the multiple entry points to initiate cancellation Ex. 75 at 99:2–22, his education and decades of interface design
 experience, and comparisons with other e-commerce cancellation flows. Dkt. 320-29 at 100:13–103:21, 105:22–
 110:10.

1 12789110, *4 (S.D. Cal. Mar. 18, 2014);⁵ *In re Arris Cable Modem Consumer Litig.*, 327 F.R.D.
 2 334, 363 (N.D. Cal. 2018) (same). Courts routinely hold that “a qualified expert may rely on their
 3 visual inspection of evidence to render an opinion.” *Updike v. Am. Honda Motor Co. Inc.*, 2024
 4 WL 4182232, *4 (D. Ariz. Sept. 13, 2024) (expert with experience “conducting research, design,
 5 testing and evaluating restraint systems” was qualified based on his “knowledge and
 6 experience”); *accord State Farm Gen. Ins. Co. v. Techtronic Indus. N. Am., Inc.*, 2024 WL
 7 5317309, *3 (C.D. Cal. Nov. 7, 2024) (expert qualified by training and experience could offer
 8 opinions based on visual inspection).

9 Nor does Dr. Rosenberg’s use of Google to identify popular e-commerce websites for
 10 reference undermine his methodology. Mot. 6. The purpose of Dr. Rosenberg’s search was to find
 11 and analyze real-world interface designs to contextualize Amazon’s interface, not to produce a
 12 statistical sample. Dkt. 318-49 ¶ 22; Dkt. 320-23 ¶¶ 9, 27–66, 169, 171 (explaining that many
 13 design elements Dr. Chetty critiques are “widely used across the industry” and “consistent with
 14 industry norms” for subscription companies). Moreover, these are classic gripes with the “factual
 15 basis of [Dr. Rosenberg’s] expert opinion – matters “go[ing] to the credibility of the testimony,
 16 not the admissibility.” *Bluetooth SIG, Inc. v. FCA US LLC*, 468 F. Supp. 3d 1342, 1349 (W.D.
 17 Wash. 2020). The same is true of the FTC’s critique that Dr. Rosenberg relied on testimony by
 18 Amazon’s own former employees to support, in part, opinions that alleged “dark patterns” are
 19 simply common marketing practices. Mot. 7; *Pelican Int’l, Inc. v. Hobie Cat Co.*, 655 F. Supp. 3d
 20 1002, 1033 (S.D. Cal. 2023) (“[A]n argument that an expert should have addressed different
 21 evidence at best, goes to the weight or credibility of the expert’s analysis, not its admissibility.”
 22 (cleaned up)). Dr. Rosenberg’s affirmative opinions are admissible, and any weaknesses can be
 23 addressed by “vigorous cross-examination” and “presentation of contrary evidence.” *Daubert*,
 24 509 U.S. at 596.

25
 26
 27 ⁵ In that case, the FTC itself argued that “independent testing is not required by either Rule 702, *Daubert*, or *Daubert*
 progeny as long as [an expert’s] testimony and opinions are based on ‘scientifically valid’ principles as demonstrated
 by objective, verifiable evidence.” *FTC v. Your Baby Can, LLC et al.*, Case 3:12-cv-02114-DMS-BGS, Dkt. 66 at 11.

1 **2. Dr. Rosenberg’s Rebuttal Opinions Are Independently Admissible**
 2 **Under Rule 702**

3 Though the FTC’s motion conflates Dr. Rosenberg’s rebuttal and affirmative opinions, the
 4 former are admissible even if the latter are not. That is because rebuttal experts are permitted to
 5 “rely largely on other expert reports” and “point out flaws in their methodologies or conclusions.”
 6 *In re Toyota Motor Corp. Unintended Acceleration Mktg., Sales Pracs., & Prods. Liab. Litig.*,
 7 978 F. Supp. 2d 1053, 1069 (C.D. Cal. 2013); *4.0 Acres of Land*, 175 F.3d at 1141 (“role” of
 8 rebuttal expert is to “expose flaws”).

9 For this reason, the FTC’s attempt to make hay of the fact that Dr. Rosenberg initially
 10 referred to his heuristic evaluation as “lightweight” in his deposition (Mot. 2) goes nowhere. In
 11 rebutting Dr. Chetty’s opinions, Dr. Rosenberg was “not required to generate independent
 12 conclusions.” *Sinclair Wyoming Ref. Co. v. Infrassure Ltd.*, 2017 WL 11094221, *3 (D. Wyo.
 13 May 19, 2017). Dr. Rosenberg’s rebuttal methodically exposes weaknesses in Dr. Chetty’s
 14 cognitive walkthrough and usability study. For example, Dr. Rosenberg (i) critiques her labeling
 15 of standard UX elements as “dark patterns” without empirical evidence (Dkt. 320-23 at 13–18,
 16 48–55); (ii) identifies widespread use of comparable interface designs on other retail and
 17 subscription websites (*id.* at 22–67); (iii) highlights “alternative and plausible explanations,”
 18 including that design elements are standard marketing practices (*id.* at 17); (iv) notes Dr. Chetty’s
 19 “double standard” in evaluating Amazon’s enrollment versus cancellation flows (*id.* at 17–18);
 20 and (v) explains “methodological flaws” in her study, including subjective coding and lack of
 21 real-world validity (*id.* at 73–101). This is precisely the role of a rebuttal expert.

22 **3. Dr. Rosenberg’s Consideration of Amazon Materials and Testimony Is**
 23 **Proper and Goes to Weight, Not Admissibility**

24 Next, the FTC argues that Dr. Rosenberg’s exclusion is warranted because he “uncritically
 25 relied” on certain internal Amazon documents and former employee testimony. Mot. 5–6. But
 26 courts have consistently held that “the factual basis of an expert opinion goes to the credibility of
 27 the testimony, not the admissibility.” *Bluetooth SIG, Inc.*, 468 F. Supp. 3d at 1349; *In re Arris*
Cable, 327 F.R.D. at 364 (complaint that expert based opinion on “cherry-picked” documents was

1 a matter for cross-examination).

2 The FTC’s assertion that an expert may not cite witness testimony unless he “fully
3 understands” all case facts is also meritless. Mot. 8. This statement in *Powell v. Anheuser-Busch*
4 *Inc.*, 2012 WL 12953439, *6 (C.D. Cal. Sept. 24, 2012) addresses situations where an expert fails
5 to consider key, unfavorable depositions. *Id.* Dr. Rosenberg, by contrast, did consider adverse
6 deposition testimony, including the FTC’s 30(b)(6) witness, multiple former Amazon UX
7 researchers or designers who are included in the FTC’s expert disclosures, and consumer
8 complainants disclosed by the FTC. Dkts. 318-49 at 57–58; 320-23 at 115–116.

9 In sum, even if there were “flaws in the underlying data” Dr. Rosenberg used, this “goes
10 to the weight that should be afforded to [his] expert opinions, rather than the admissibility.” *Fair*
11 *v. King Cnty.*, 2025 WL 1031274, *8 (W.D. Wash. Apr. 7, 2025) (Chun, J.) (quoting *Hangarter*,
12 373 F.3d at 1017).

13 **C. Dr. Rosenberg’s Opinions Are Relevant and Will Assist the Trier of Fact**

14 “The relevancy bar [for expert testimony] is low, demanding only that the evidence
15 ‘logically advances a material aspect of the proposing party’s case.’” *Messick*, 747 F.3d at 1196.
16 While the FTC claims that Dr. Rosenberg’s opinions are “irrelevant and confusing” and that he
17 “fixates on the wrong questions” (Mot. 12), Dr. Rosenberg’s opinions go directly to core disputed
18 issues: whether Amazon’s disclosures and flows were clear, transparent, and simple, or
19 misleading and confusing. *See, e.g.*, Dkts. 314 (FTC MSJ Motion) at 48–64; 327-10 ¶¶ 154, 179
20 (“the design of the enrollment interfaces does not make it clear to consumers that they are
21 enrolling in Prime”), 213 (cancellation flow was “not simple to find”).

22 *First*, Dr. Rosenberg’s opinions about “dark patterns” and how they are defined counter
23 the FTC’s allegations. The FTC argues that whether Amazon’s flows contain “dark patterns” is
24 not itself “dispositive.” Mot. 12. Yet it was *the FTC* that introduced the concept of “dark
25 patterns” as a key plank of its liability theories. FAC ¶¶ 2, 8, 231. And the FTC continues to
26 advance a theory that consumers “as a result of dark patterns, unintentionally select an option to
27 enroll in Prime” and “do not successfully cancel online as a result of dark patterns.” Dkt. 327-10

1 at 1. In fact, the FTC’s expert, Dr. Chetty, refers to “dark patterns” *over 120 times* in her
 2 affirmative report alone, labels herself a “leading expert in the area of dark patterns,” and claims
 3 Amazon’s flows contain numerous alleged “dark patterns.” *See generally id.*; ¶¶ 5, 55. Moreover,
 4 Dr. Rosenberg’s opinion that there is no clear definition of “dark patterns” is not “stuck on
 5 nomenclature” (Mot. 12)—rather, as Dr. Rosenberg explains, the “lack of clarity” in how “dark
 6 patterns” are defined “calls into question the reliability of current methods for identifying and
 7 evaluating these practices.” Dkt. 318-49 ¶ 43; *see In re Toyota Motor Corp.*, 978 F. Supp. 2d at
 8 1069 (rebuttal expert “may rely largely on other expert reports” and “point out flaws”). The FTC
 9 cannot now claim that it is “confusing” for Dr. Rosenberg to rebut its own central theory.

10 *Second*, the FTC argues that Dr. Rosenberg’s testimony on industry norms and common
 11 interface designs amounts to a “complaint that everybody is doing it” and will “confus[e] the
 12 jury.” Mot. 14. This argument misunderstands his opinions. As Dr. Rosenberg opines, the fact
 13 that Amazon’s practices aligned with common interface designs goes directly to whether a
 14 reasonable consumer would be misled or confused. Dkt. 320-29 at 102:13–103:21, 170:11–25;
 15 Ex. 75 at 181:7–25 (discussing consistency and learnability of interface conventions). Dr.
 16 Rosenberg explained that “most people learn a website from using previous websites”—thus,
 17 evidence that a design is a “common pattern that one sees over and over again” tends to show that
 18 it would not confuse a reasonable consumer. Dkt. 320-29 at 170:11–25. For this reason, courts
 19 routinely admit expert testimony about industry practices to provide context for claims of
 20 consumer deception or manipulation. *See, e.g., Morales v. Kraft Foods Grp., Inc.*, 2016 WL
 21 11743532, *13 (C.D. Cal. Dec. 2, 2016) (industry norms and “common industry marketing” are
 22 relevant to whether a particular phrase or label is not misleading to a reasonable consumer);
 23 *Rooney v. Cumberland Packing Corp.*, 2012 WL 1512106, *4 (S.D. Cal. Apr. 16, 2012) (same).

24 *Third*, the FTC incorrectly claims that “Rosenberg opines that consumers likely were not
 25 deceived because Defendants might not have intended to mislead them.” Mot. 13. He does no
 26 such thing. The single sentence at issue reads: “Regulatory and academic discussions often
 27 conflate user outcomes with designer intent, assuming that any behavior deviating from a user’s

actions is the result of manipulation.” *Id.* This is not a legal conclusion or an opinion about Amazon’s “intent”—much less an opinion that consumers “likely were not deceived” because of Amazon’s “intent.” *Id.* In this topic sentence, Dr. Rosenberg simply makes clear that the subsequent opinions rebut the wrongheaded idea that a design is “manipulative” if a user behaves in a certain way. *See, e.g.*, FAC ¶¶ 2, 8, 231; Ex. 63 ¶¶ 34, 39–47; Dkt. 327-10 ¶¶ 115, 282.

Fourth, Dr. Rosenberg does not offer opinions on whether Amazon “violated” ROSCA or whether its flows were lawful. Mot. 14. Rather, in response to Dr. Chetty’s opinions, he applies usability heuristics to assess whether the interface design was “clear,” “simple,” and consistent with usability principles. *See, e.g.*, Dkt. 320-23 ¶¶ 75–80 (critiquing Chetty’s opinions on whether interfaces were “clear” or “unclear”; *see* Dkt. 327-10 at 55; ¶¶ 147, 154, 172 (enrollment flow clarity); ¶¶ 211, 212, 285 (cancellation process simplicity). If Dr. Chetty is permitted to offer these opinions, then Dr. Rosenberg’s directly responsive rebuttal opinions should be admitted. *Hangerter*, 373 F.3d at 1016 (expert may testify in terms that “embrace[] an ultimate issue” provided they do not seek to instruct the jury on the law).

V. CONCLUSION

The FTC’s motion should be denied in its entirety because all its objections to Dr. Rosenberg’s opinions go to weight, not admissibility.

DATED this 17th day of June, 2025.

I certify that this memorandum contains 4,200 words, in compliance with the Local Civil Rules.

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